

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )

Katsuhiko MIKOSHIBA et al. )

Serial No.: 09/832,189 )

Group Art Unit: 1646

Filed: April 11, 2001 )

Examiner: Unknown

For: TRUNCATED REELIN PROTEIN AND DNA ENCODING THE SAME

Assistant Commissioner for Patents

Washington, D.C. 20231

Attention:

BOX MISSING PART

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

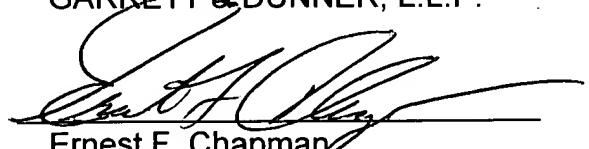
In response to the Notice To File Missing Parts dated May 16, 2001, enclosed is the Declaration, a substitute computer readable form of the sequence listing, and substitute written sequence listing (15 pages), a statement that the written substitute sequence listing and computer readable copy are one and the same and a copy of the Notice To File Missing Parts.

Also enclosed is a check in the amount of \$130.00 to cover the surcharge of \$130.00. Authorization is hereby given to charge any additional fees to our deposit account no. 06-0916.

Please associate this Declaration, the written substitute sequence listing and computer readable form with the application as filed on April 11, 2001, and assigned serial number 09/832,189.

Respectfully submitted,  
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Ernest F. Chapman  
Reg. No. 25,961

Dated: July 16, 2001  
EFC/FPD/peg  
Enclosures




LAW OFFICES

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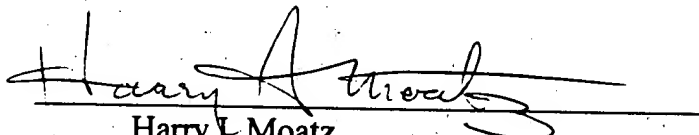
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
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**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Yuko Soneoka is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Finnegan, Henderson, Farabow, Garrett & Dunner LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Finnegan, Henderson, Farabow, Garrett & Dunner LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Finnegan, Henderson, Farabow, Garrett & Dunner LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Yuko Soneoka ceases to lawfully reside in the United States, (ii) Yuko Soneoka's employment with Finnegan, Henderson, Farabow, Garrett & Dunner LLP ceases or is terminated, or (iii) Yuko Soneoka ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: September 1, 2001**

  
Harry I. Moatz  
Director of Enrollment and Discipline

# 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/832,189	04/11/2001	Katsuhiko Mikoshiba	04853.0068

CONFIRMATION NO. 1135

## FORMALITIES LETTER



\*OC00000006080558\*

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 05/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

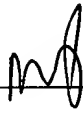
For questions regarding compliance to these requirements, please contact:

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07/18/2001 GTEFFERA 00000006 09832189

01 FC:105 130.00 OP

*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, consisting of a series of loops and a final vertical stroke, positioned above a horizontal line.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE